



# WAKE FOREST UNIVERSITY

## Internal Audit Tic-Tac-Toe

<p>We are a team of <u>ten</u> professionals, each with an average of <u>16</u> years of auditing experience.</p>	<p>Our audit plan is developed <u>yearly</u> based on organizational challenges in these general risk categories: operational, information technology, legal, compliance, potential fraud, reputational, financial and governance.</p>	<p>Internal auditing is a profession with <u>180,000</u> members worldwide governed by the standards established through the Institute of Internal Auditors (IIA).</p>
<p>Audits are formally announced and are conducted with <u>full</u> knowledge and participation of the partnering department(s).</p>	<p>Our mission is to <u>strengthen</u> and <u>protect</u> Wake Forest University, the School of Medicine, WFU Baptist Medical Center, as well as WF subsidiaries and affiliates.</p>	<p>We are afforded access to <u>any</u> personnel, records (including healthcare or student), physical properties, systems, or data needed to complete our work. We protect sensitive information with the same level of care as the record owner.</p>
<p>We work with your department to help ensure your process controls are designed to help you meet your strategic, regulatory, IT, financial, or operational <u>risk</u>.</p>	<p>We are a department of Wake Forest, but are independent in structure because we are accountable to the Audit Committee of the <u>Board of Trustees</u>.</p>	<p>Our team approach fosters a collaborative and transparent review process that results in <u>action</u> plans to strengthen your department and add value to Wake Forest.</p>

Bonus: And most importantly, we are all very nice people, good to work with, and have the best interest of Wake Forest at heart.

**Contact info:** – James Ponce – (336) 316-2784 – poncej@wfu.edu

**Compliance Hotline** - The Compliance Hotline can be reached toll free at **1-877-880-7888** or by submitting an online report at [www.tnwinc.com/reportline/](http://www.tnwinc.com/reportline/)

Both methods of reporting are available 24 hours a day, 7 days a week, can be accessed from any location, and are available in numerous languages. To ensure caller confidentiality and anonymity, this service is administered by an independent company.

